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Glen R. Farbanish

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Mr. Dade:

This is a follow-up to our telephone conversation regarding application number 09/626,437.

Thanks,

Glen Farbanish

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APR-15-04 12:51 FROM: TELCORDIA LEGAL DEPT. ID: 17323363004 PAGE 1/28				
<p>Approved for use by the USPTO under the provisions of 37 CFR 1.8(a) and (b). This document is not to be used for any other purpose without the express written permission of the USPTO.</p>				
<p>TRANSMITTAL FORM (to be used for all correspondence regarding this)</p>				
<p>Application Number: 09/04, 07</p> <p>Filing Date: July 27, 2004</p> <p>Fax Mailed Invention: Blanket Provision</p> <p>Art Unit: 284</p> <p>Examiner Name: Leslie, Jonathan M.</p> <p>Attorney Contact Number: 577 112</p>	<p>Total Number of Pages in This Transmission: 28</p>			
<p>EXEMPTIONS (check all that apply)</p> <table border="0"> <tr> <td> <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Approval / Reply <input type="checkbox"/> After Trial <input type="checkbox"/> After Amendment/Correction <input type="checkbox"/> Continued Trial Request <input type="checkbox"/> Notice of Amendment/Correction <input type="checkbox"/> Withdrawal/Discontinuance Statement <input type="checkbox"/> Continued Copy of Paper <input type="checkbox"/> Responses to Mailed Paper <input type="checkbox"/> Responses to Mailed Paper under 37 CFR 1.6 (b) 1.8 </td> <td> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Literature-related Paper <input type="checkbox"/> Petition <input type="checkbox"/> Request to Convert a Provisional Application <input type="checkbox"/> Petition of Attorney/Registered Change of Correspondence <input type="checkbox"/> Trademark Dispute <input type="checkbox"/> Request for Return <input type="checkbox"/> CO Number of COU: _____ </td> <td> <input type="checkbox"/> After Amendment/Correction to Group <input type="checkbox"/> Appeal/Continuation to Court of Appeals and Intervention <input type="checkbox"/> Appeal/Continuation to Court of Appeals (Appeal/Reply/Reply) <input type="checkbox"/> Preliminary Prosecution <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Extension(s) (please identify below) <input type="checkbox"/> I, Certificate of Transmittal by Facsimile <input type="checkbox"/> I, Letter of Consent to Reply to Notices of Non-Completion <input type="checkbox"/> I, Amendment of Appeal(s), 37 CFR 1.6 (b) 1.8 </td> </tr> </table>		<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Approval / Reply <input type="checkbox"/> After Trial <input type="checkbox"/> After Amendment/Correction <input type="checkbox"/> Continued Trial Request <input type="checkbox"/> Notice of Amendment/Correction <input type="checkbox"/> Withdrawal/Discontinuance Statement <input type="checkbox"/> Continued Copy of Paper <input type="checkbox"/> Responses to Mailed Paper <input type="checkbox"/> Responses to Mailed Paper under 37 CFR 1.6 (b) 1.8	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Literature-related Paper <input type="checkbox"/> Petition <input type="checkbox"/> Request to Convert a Provisional Application <input type="checkbox"/> Petition of Attorney/Registered Change of Correspondence <input type="checkbox"/> Trademark Dispute <input type="checkbox"/> Request for Return <input type="checkbox"/> CO Number of COU: _____	<input type="checkbox"/> After Amendment/Correction to Group <input type="checkbox"/> Appeal/Continuation to Court of Appeals and Intervention <input type="checkbox"/> Appeal/Continuation to Court of Appeals (Appeal/Reply/Reply) <input type="checkbox"/> Preliminary Prosecution <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Extension(s) (please identify below) <input type="checkbox"/> I, Certificate of Transmittal by Facsimile <input type="checkbox"/> I, Letter of Consent to Reply to Notices of Non-Completion <input type="checkbox"/> I, Amendment of Appeal(s), 37 CFR 1.6 (b) 1.8
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<p>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</p> <p>Name or Invention Name: Chia Farnsworth (Reg. No. 2844)</p> <p>Signature: </p> <p>Date: April 16, 2004</p>				
<p>CERTIFICATE OF TRANSMISSION RECEIVED</p> <p>I hereby certify that the correspondence of this transmittal was received by the USPTO on the date indicated below. This document is not to be used for any other purpose without the express written permission of the USPTO.</p> <p>Typed or printed name: _____</p> <p>Signature: _____</p> <p>Date: _____</p>				



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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/626,437	
	Filing Date	July 27, 2000	
	First Named Inventor	Stanley Pietrowicz	
	Art Unit	2143	
	Examiner Name	Lezak, Arrienne M.	
Total Number of Pages in This Submission	28	Attorney Docket Number	APP 1192

ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	1. Certificate of Transmission by Facsimile
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	2. Listing of Claims in Reply to Notice of Non-Compliant Amendment of April 2, 2004
<input type="checkbox"/> Certified Copy of Priority Document(s)		3. Amendment for forwarding to Examiner
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Glen Farbanish (Reg. No. 50561)
Signature	
Date	April 16, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No. APP 1192
Applicant(s): Stanley Pietrowicz			
Serial No. 09/626,437	Filing Date July 27, 2000	Examiner Lezak, Arrienne M.	Group Art Unit 2143
Invention: Method and System for Transporting Generic Data Messages Over the Public Switched Telephone Network to Customer Premises Equipment Without Establishing a Call			
			RECEIVED CENTRAL FAX CENTER
			OFFICIAL
			JUN 08 2004
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Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 03/29/04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on June 30, 2003 (see 68 Fed. Reg. 38611, Jun. 30, 2003). In order for the amendment document to be compliant, correction of the following item(s) is required. Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted. 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
 - ☐ B. New paragraph(s) should not be underlined.
 - ☐ C. Other _____
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
 - ☐ B. Other _____
- ☐ 3. Amendments to the drawings: _____
- ☒ 4. Amendments to the claims:
- ☐ A. A complete listing of all of the claims is not present.
 - ☐ B. The listing of claims does not include the text of all claims (including withdrawn claims)
 - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified.
 - ☒ D. The claims of this amendment paper have not been presented in ascending numerical order.
 - ☐ E. Other: Claims not in numerical order

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/propnotice/officeflver.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given **ONE MONTH** from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit is not extendable.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a **TIME PERIOD** of **ONE MONTH** from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Theodore Dade
Legal Instruments Examiner (LIE)

(703) 04/01/04
Telephone No.